

S/N 09/960,634

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|             |  |                 |                  |
|-------------|--|-----------------|------------------|
| Applicant:  | Hom et al.                             | Examiner:       | Not yet assigned |
| Serial No.: | 09/960,634                             | Group Art Unit: | 1624             |
| Filed:      | 9/21/01                                | Docket No.:     | 13615.1USI1      |
| Title:      | COMPOUNDS TO TREAT ALZHEIMER'S DISEASE |                 |                  |

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 21, 2001.

By:

Karen Olsen

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report mailed October 17, 2001.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 09/815,960 filed on 3/23/01. Enclosed for the Examiner's information is a copy of the International Search Report. Although not required to provide a copy of the references, Applicants' attorney encloses a CD ROM of images of the references for the convenience of the Examiner.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

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reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

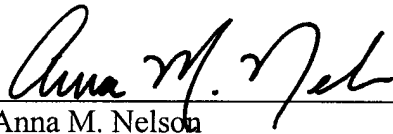
Consideration of the listed items is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300

Date:

December 21, 2001

  
\_\_\_\_\_  
Anna M. Nelson  
Reg. No. 48,935

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hom et al.  
Serial No.: 09/960,634  
Filed: 9/21/2001  
Notice of  
Allow. Date:  
Due Date:  
Title:



Examiner: Not yet assigned  
Group Art Unit: 1624  
Docket: 13615.1US11  
Batch No.:

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COMPOUNDS TO TREAT ALZHEIMER'S DISEASE

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: IDS, Commissioner for Patents, Washington, D.C. 20231, on December 21, 2001.

By: Karen Olsen  
Karen Olsen

IDS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, CD ROM containing reference images
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Anna M. Nelson  
Name: Anna M. Nelson  
Reg. No.: 48,935  
AMN:ko





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DOCKET NOTIFICATION SLIP

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TO: PATENT DOCKETING

Applicant: Hom et al.  
Title: COMPOUNDS TO TREAT ALZHEIMER'S DISEASE  
Serial No: 09/960,634  
Filed: 9/21/2001  
Docket: 13615.1US11  
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**Date Mailed: December 21, 2001**

AMN:ko